

ARTICLE 8
PERFORMANCE EVALUATIONS

8.1 General Principles. Performance evaluations are used to assess, recognize, and facilitate improvement in Employees’ performance. This strengthens the University’s workforce by providing a periodic and formal exchange of information between supervisors and employees regarding progress, accomplishments, and when applicable, areas needing improvement. Performance evaluations also provide an opportunity to clarify work standards, discuss training and development needs, set goals for the next year, and identify the support needed to reach such goals.

8.2 Purpose and Scope of Evaluation.

(a) Purpose. An annual evaluation is a subjective assessment of an individual’s performance based on objective criteria.

(1) Annual evaluations for faculty members focus on performance in functions such as teaching, research, service, and other duties that may be assigned.

(2) Annual evaluations for academic professionals focus on performance of all assigned duties.

(3) In addition, all Employees are evaluated based on the terms of their individual contract, duties under the Collective Bargaining Agreement, and on their contributions to the orderly and effective functioning of the University and their academic department/unit.

(b) Scope.

(1) Evaluators ~~The evaluation should also state goals for the upcoming year and address progress toward promotion [FPU-BOT1].~~ should endeavor to assist the Employee in correcting any performance deficiencies reflected in the annual evaluation. ~~Employees are encouraged to accept and seek such assistance, if needed. The evaluation should also state goals for the upcoming year and address progress toward promotion.~~

a. Employees are encouraged to accept and seek such assistance, if needed.

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- 1 **b.** The Evaluator may informally coach or counsel the Employee with
- 2 the goal of improving performance. Such advice is not disciplinary,
- 3 nor may it be part of the evaluation file.
- 4 (2) Performance Evaluations must:
 - 5 **a.** fully consider information in the faculty member’s dossier and any
 - 6 other faculty evaluative information that is provided and disclosed
 - 7 to the Evaluator, and;
 - 8 **b.** be consistent with the Evaluation Guidelines, and reasonably
 - 9 consistent with the Review Panel’s guidance.
- 10 (3) Evaluations must take into account the quality of the performance, as well
- 11 as the proportions and nature of the assignments. An Employee may not be
- 12 evaluated for a work assignment that is given, or implied to be given, in the
- 13 area of research without the involvement of the Employee’s supervisor
- 14 and/or the agreement of the Employee.
- 15 (4) Academic professionals have, as part of their job description, other duties
- 16 as assigned. Significant duties assigned under this heading must be issued
- 17 in writing (via email) and are included in the expectation of performance.
- 18 Minor requests that are within the appropriate scope of the individual’s job
- 19 may be given verbally.
- 20 (5) Each Evaluator completing a performance evaluation must articulate
- 21 sufficient and specific grounds or reasons to substantiate any rating other
- 22 than “Meet Expectations.”
- 23 **(c)** Promotion Appraisals.
 - 24 **(1)** Faculty Members may request, at the time of the submission of the
 - 25 Evaluation Information Sheet (see Section 8.3(b)), an appraisal regarding
 - 26 their progress toward promotion, if applicable.
 - 27 **(2)** The appraisal is intended to provide a current assessment of the strengths
 - 28 and weaknesses of the Faculty Member’s candidacy for promotion and
 - 29 provide assistance and counseling in progressing toward promotion.
 - 30 **(3)** The Evaluator shall include the promotion appraisal as a separate addendum
 - 31 to the annual evaluation, but the promotion appraisal shall not be part of the

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justification for the ratings assigned for the annual evaluation.

(4) Such appraisals regarding progress toward promotion are non-binding on the University and shall not be subject to the grievance process.

8.3 Annual Evaluation. Employees are evaluated at least once annually, except as described in Sections 8.3(g) and (h).

(a) The annual evaluation period will cover all employment occurring from February 1 through January 31, regardless of the employment start date.

(b) The Employee must submit the Evaluation Information Sheet (see Section 8.7) to the Evaluator, with a copy to the Provost’s Office, no later than February 15.

(c) On or before May 1, the Evaluator must:

(1) Complete the performance evaluation;

(2) Provide a copy of the Evaluation to the Employee, and;

(3) Discuss and review the evaluation with the Employee (the Employee may choose to not discuss the evaluation). The discussion will be scheduled by the Evaluator during normal business hours, unless both parties agree to a meeting outside of normal business hours.

(d) On or before May 1, the Employee must acknowledge receipt of the performance evaluation by signing the evaluation. The Evaluator must sign the evaluation and submit the signed evaluation to the Provost’s Office.

(e) On or before May 15, the finalized performance evaluation must be added to the Employee’s file in the Provost’s Office.

(f) If errors, omissions, or other documentable issues with the evaluation are discovered, the University may issue a revised performance evaluation to replace the original evaluation. The Evaluator and Employee must acknowledge receipt of the revised performance evaluation by signing the revised evaluation. The University would retain both evaluations and would indicate on the original evaluation that it had been replaced by the revised evaluation.

(g) The performance of an Employee must be evaluated annually, with the following permissible exceptions:

(1) Employees that have resigned;

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- 1 (2) Faculty members in the terminal year of the contract, or;
- 2 (3) Faculty members whose employment began less than ninety (90) days prior
- 3 to the end of the evaluation period.

4 **8.4** Probationary Evaluation. In addition to the annual evaluation, the academic professional
5 must receive a probationary evaluation after ninety (90) days of employment in their
6 position.

- 7 (a) In the absence of a completed probationary evaluation, a probationary employee
- 8 will default to a “satisfactory” rating.
- 9 (b) If the academic professional’s probationary period ends between October 1 and
- 10 January 30, the employee’s immediately following annual performance evaluation
- 11 may be skipped. If skipped, the employee must be evaluated during the next annual
- 12 evaluation period.

13 **8.5** Evaluators.

- 14 (a) Faculty Evaluators are the Department Chair or Division Director that has been
- 15 assigned personnel management responsibility by the Provost for the Employee’s
- 16 area. When the Evaluator is a Division Director, the Division Director will seek
- 17 advice and context from a department chair for each of the faculty members in the
- 18 unit. The Assistant Librarian and Wellness Counselor are evaluated by their
- 19 immediate supervisor.
- 20 (b) For faculty, the Provost will appoint an evaluation review panel which will consist
- 21 of Evaluators, and if the Faculty Representative Council chooses to do so, two
- 22 faculty members of senior rank (Associate Professor or Professor) appointed by the
- 23 Faculty Assembly. The purpose of the review is to ensure the Evaluators have
- 24 applied a consistent standard to all faculty members when conducting the
- 25 evaluations. The reviews prepared by Department Chairs or Division Directors may
- 26 change as a result of panel discussions. The Provost will serve as chair of the
- 27 evaluation review panel. All members of the evaluation review panel must agree to
- 28 the confidentiality of the review process.

29 **8.6** Evaluation Review.

- 30 (a) Within seven (7) calendar days of receipt of the evaluation, the faculty member
- 31 may request a review, in writing, with the Provost’s Office to discuss (with the
- 32 Provost or Provost’s designated administrator) concerns regarding the evaluation,

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1 which were not resolved in previous discussions with the Evaluator.

2 (b) Within seven (7) calendar days of receipt of the evaluation, the academic
3 professional may request, in writing, a meeting with the administrator at the next
4 higher level in their line of authority to discuss concerns regarding the evaluation,
5 which were not resolved in previous discussions with the Evaluator.

6 (c) The evaluation reviews in (a) and (b) above must take place no later than May 15
7 unless both the Provost’s Office and the faculty member or academic professional
8 mutually agree to schedule the meeting after May 15.

9 **8.7** Evaluation Information Sheet. A sample Faculty Activity Report format is attached to this
10 contract in Appendix B. The Faculty Representative Council may provide the Provost with
11 recommended changes to the information sheet’s format no later than December 1 on an
12 annual basis. The Provost will communicate decisions on changes in the format to the
13 Faculty Representative Council by January 15. (See Appendix B).

14 **8.8** Sources of Faculty Evaluative Information. Evaluations are intended to be comprehensive
15 and not based on a single or limited number of sources of information.

16 (a) The Evaluator may consider all appropriate and available information that is
17 relevant to the Employee’s performance. This includes information provided by the
18 Employee and information provided from the following sources: Immediate
19 supervisor(s), peers, students, other University officials who have responsibility for
20 supervision of the faculty member, and members of the University community.

21 (1) Any materials or information used to evaluate a faculty member other than
22 that included in the faculty member’s dossier will be provided or explained
23 to the faculty member by the Evaluator during the evaluation meeting
24 offered pursuant to Section 8.3(c).

25 (2) Any materials or information that have not been disclosed to the faculty
26 member as described in 8.8(a)(1) cannot be used in the evaluation process.

27 (b) Records maintained for the purposes of any investigation of Employee misconduct,
28 including but not limited to a complaint against an Employee, including anonymous
29 complaints, and any final conclusions reached pursuant to the investigation of such
30 complaint may not be used or considered in the evaluation process until they are
31 considered final, pursuant to section 1012.91, Florida Statutes. Information that has
32 been validated that is a part of an investigation may be used, regardless of complaint

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1 finding and the status of the complaint.

2 (c) Information from outside the evaluation period must not be considered in the
3 determination of the Employee’s evaluation rating. However, reference to prior
4 evaluations may be appropriately considered for the purpose of providing context
5 or comparison (such as meeting previously stated goals, or noting improvement,
6 consistency, or regression), if directly relevant to performance during the current
7 evaluation period.

8 (d) All employees may provide a written response and/or comments regarding their
9 evaluation and have it added to the evaluation file within sixty (60) days of the
10 receipt of the evaluation. All written material used to produce a performance
11 evaluation shall be included in the evaluation file.

12 **8.9** Evaluation Guidelines. The administration will develop a set of evaluation guidelines for
13 each of the faculty ranks that indicate performance characteristics appropriate to each
14 rating for teaching, scholarship, and service.

15 (a) Judgments of academic excellence are complex. Evaluation guidelines cannot
16 easily be reduced to a quantitative formula, nor can the considerations that must be
17 applied in each individual case be completely described in general terms or by
18 numbers alone, separate from necessary qualitative assessments. Therefore, the
19 guidelines are used to create consistency in ratings across the range of evaluators
20 and are specifically not a scoring rubric.

21 (b) The guidelines for a review period will be provided to the academic departments
22 by September 15 of the year prior to the beginning of the review period and the
23 departments will provide comment on the guidelines on or before November 1 of
24 that year. The comments provided must be approved by majority vote of the
25 department. The vote must take place anonymously. By January 15, the review
26 evaluation panel will consider the department recommendations and provide a
27 recommendation to the Provost on evaluation guidelines to be used for the next
28 review cycle. The University must provide the final guidelines to faculty before the
29 review period begins.

30 ~~(c) Annual evaluations for February 1, 2019 through January 31, 2020 period will use~~
31 ~~the evaluation guidelines that were used for the 2017-2018 evaluations. The~~
32 ~~University must provide the final guidelines to Employees before the start of classes~~
33 ~~in the Fall 2019 semester.~~

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1 ~~(d)~~(c) The scale for the evaluations is provided in the following table:

Evaluation Key	
Unsatisfactory	<i>Performance that is clearly substandard.</i>
Needs Improvement	<i>Performance that is below a reasonable expectation for the person's job description.</i>
Meets Expectations	<i>Performance is sound and within reasonable expectations for the person's job description.</i>
Exceeds Expectations	<i>Performance is sound and within reasonable expectations for the person's job description. The individual has distinguished themselves in some way by performing at a level that is above a normal expectation for their job description.</i>
Exemplary	<i>Performance is sound and above reasonable expectations for the person's job description. The individual has truly done something that is outstanding.</i>

2 **8.10** Evaluation File. Faculty members must refer to 6C13-6.008 Personnel Records and
3 Limited-Access Records regarding access to, and disclosure of, performance evaluations
4 and other faculty evaluative information.

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